# Automatic Evaluation of Linguistic Validity in Japanese CCG Treebanks

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### 1. Introduction

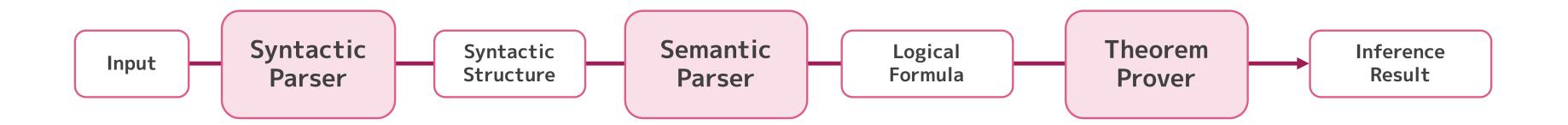
## 1. Introduction Natural Language Inference

Natural Language Inference (NLI) is a core task in NLP, requiring systems to determine inferential relationship (e.g., entailment, contradiction, or neutral) between premises and a hypothesis

**Approach 1**: Using Large Language Models (LLMs) Achieve high accuracy on benchmark datasets But the decision process is often not transparent

**Approach 2**: Using Compositional Semantics-Based Models Enables explicit tracing of the reasoning process Allows identification of where inference fails when an error occurs

## NLI based on compositional semantics



- Inference accuracy is strongly influenced by the output of syntactic and semantic analysis, which serve as preprocessing for inference.
  - Syntactic and semantic analysis that contain errors can lead to incorrect inference result.

## Parsing accuracy and validity

Accuracy

How well a parser trained on a dataset can reproduce the analyses in the evaluation dataset.

Validity

How well the parser's output conforms to the principles of linguistic theory.

The development of a valid parser requires ...

High Accuracy Parser

X

Linguistically Valid Dataset

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Linguistically Valid Dataset (Treebank)

## Research Question

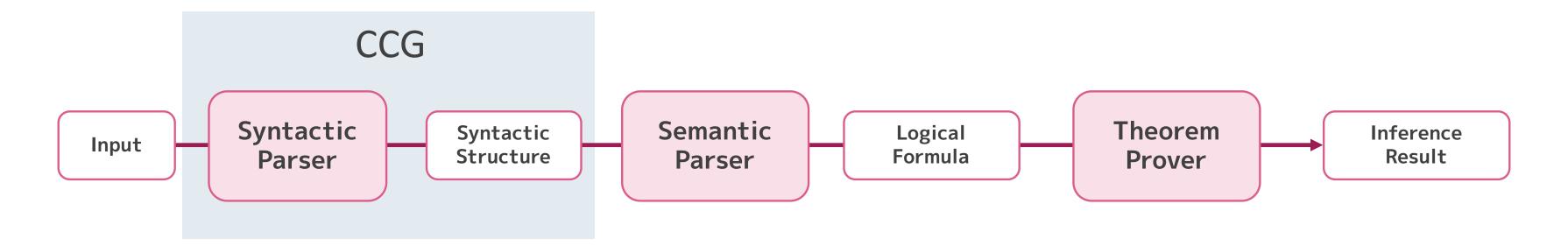
Question 1.

How can you construct linguistically valid treebank?

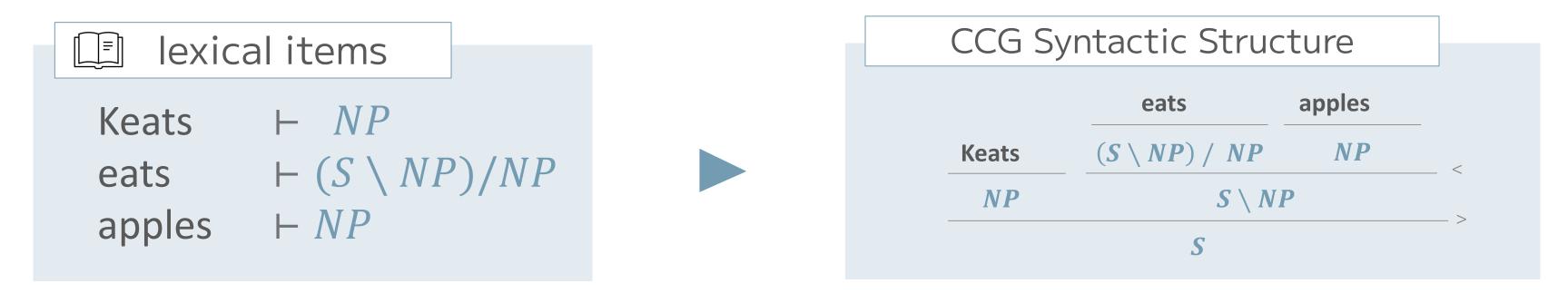
Question 2.

How can you automatically evaluate linguistic validity of the treebank?

### Combinatory Categorial Grammar (CCG; Steedman 1996)



CCG is a lexicalized grammar that describes syntactic structures using lexicon and combinatory rules



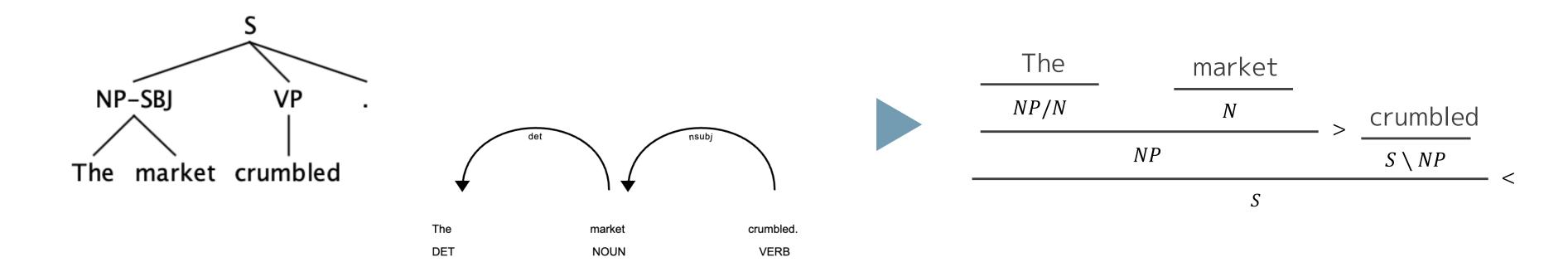
## Combinatory Categorial Grammar (CCG; Steedman 2000)

- CCG have the weakest generative power among mildly contextsensitive grammars in the Chomsky hierarchy
  - → makes it well-suited for providing sufficient expressivity to capture the essential syntactic structures of sentences

## Combinatory Categorial Grammar (CCG; Steedman 2000)

Treebanks based on CCG (Hockenmaier and Steedman 2007; Tran and Miyao 2022) are constructed via automatic conversion from treebanks based on CFG or dependency structures

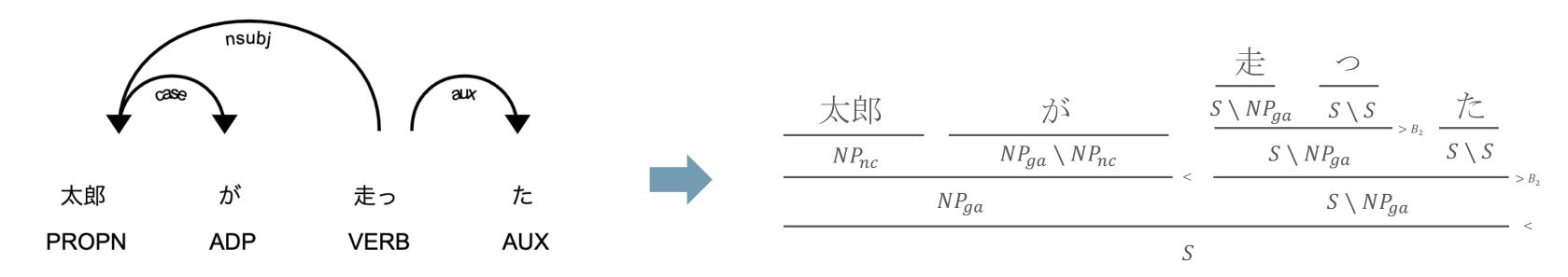
- They are used as training and evaluation data for existing parsers (Yoshikawa et al., 2017; Tian et al., 2020).



## Japanese CCGbank (Uematsu et al. 2013, 2015)

#### A representative CCG treebank for Japanese

- constructed via automatic conversion from a corpus of dependency structures
- widely used as training and evaluation data for Japanese CCG parsers



Dependency structure

CCG syntactic structure

## Japanese CCGbank (Uematsu et al. 2013, 2015)

#### A representative CCG treebank for Japanese

- constructed via automatic conversion from a corpus of dependency structures
- widely used as training and evaluation data for Japanese CCG parsers
- contains errors in the analysis of sentences involving case alternation such as passive and causative constructions (Bekki & Yanaka, 2023)

## Japanese CCGbank (Uematsu et al. 2013, 2015)

Analysis of passive constructions in the Japanese CCGbank

Analysis of passive constructions in Japanese CCG (Bekki 2010)

$$\frac{\text{Taro-ga}}{\frac{\text{Taro-NOM}}{T/(T\backslash NP_{ga})}} \stackrel{\text{Jiro-ni}}{\frac{\text{Jiro-DAT}}{T/(T\backslash NP_{ga})}} \stackrel{\text{homera}}{\frac{\text{praise}}{S\backslash NP_{ga}\backslash NP_{o}}} \stackrel{\text{passive}}{\frac{S\backslash NP_{ga}\backslash NP_{ni}\backslash (S\backslash NP_{ga}\backslash NP_{ni})}{S\backslash NP_{ga}\backslash NP_{ni}}} < \frac{\text{ta}}{\frac{PST}{S\backslash S}} < \frac{PST}{S\backslash S} < \frac{S}{S} > \frac{S\backslash NP_{ga}\backslash NP_{ni}}{S\backslash NP_{ga}\backslash NP_{ni}} > \frac{S\backslash NP_{ga}\backslash NP_{ni}}{S\backslash NP_{ni}} > \frac{S\backslash NP_{ga}$$

### Method to construct treebank

- 1. Automatic conversion from another corpus (ex: Japanese CCGbank
  - ✓ It is possible to automatically construct large-scale corpora.
  - Linguistic validity cannot be guaranteed.

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  - Example 2 Linguistic validity cannot be guaranteed.
- 2. Manual Annotation (ex: ABCTreebank (Kubota et al. 2017)
  - Manual annotation by linguists ensures high linguistic validity.
  - It requires specialized linguistic knowledge, making it highly costly.

## 2. Construction of the CCG treebank Method to construct treebank

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- 3. Use parser for Annotation (ex: Keyaki Treebank (Butler et al. 2018)

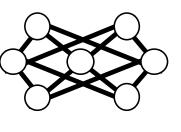
  Automatic annotation is done mechanically, without expert intervention

## 2. Construction of the CCG treebank Bootstrapping Problem

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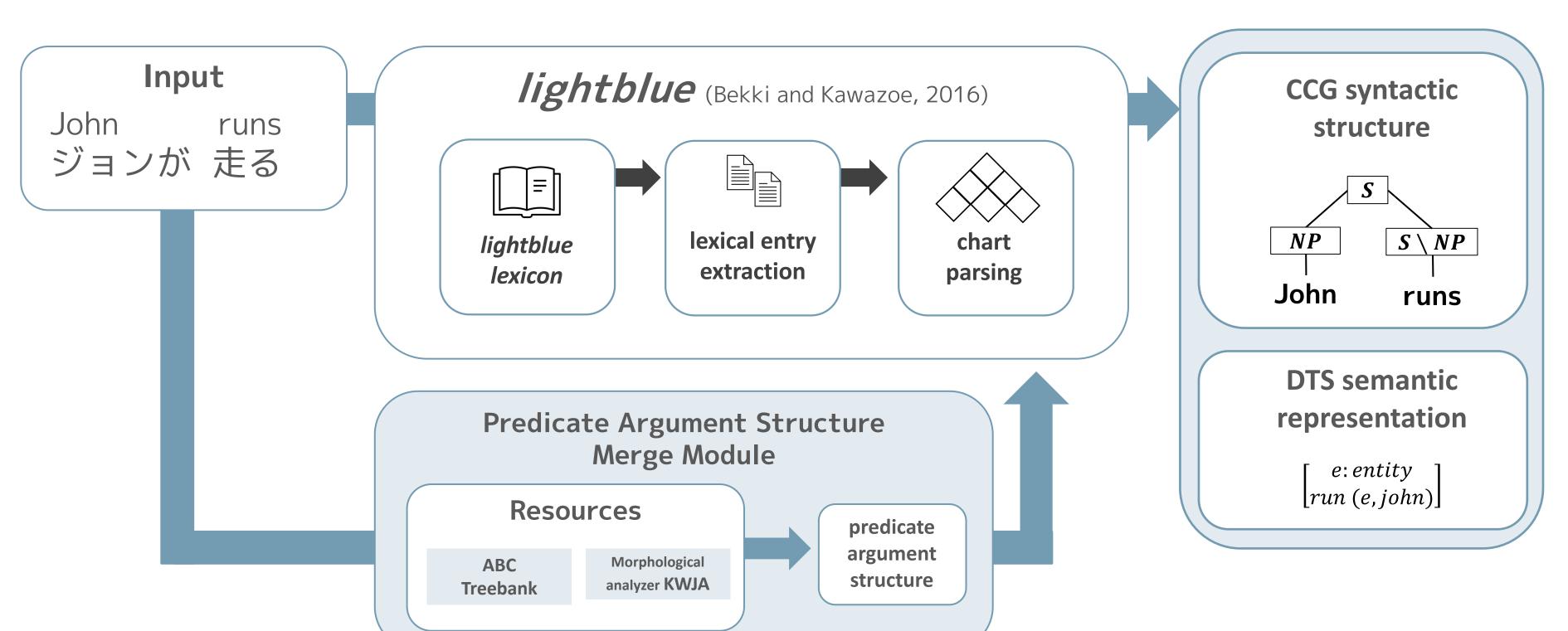
Treebank construction requires a parser, while parser development requires a treebank.



Parser

Use a non-neural parser (lexicon-based parser) that does not require a treebank to construct a treebank

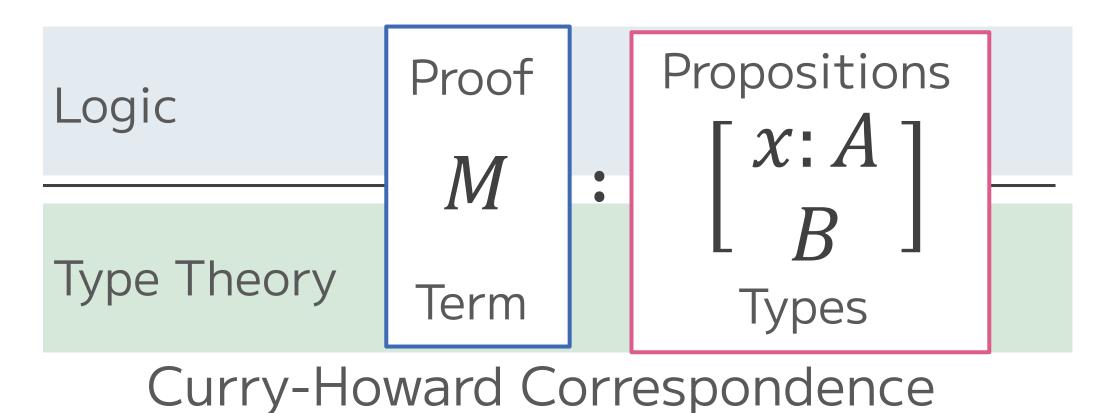
## Constructing linguistically valid Japanese CCG treebank



(Tomita et al. 2024)

#### Dependent Type Semantics (DTS; Bekki 2014, Bekki and Mineshima 2017)

- DTS is a type-theoretical semantic framework based on Dependent Type Theory (DTT; Martin-Löf, 1984).
- Allows types (= propositions) to depend on terms ( = proofs)
- Handles anaphora and presupposition via proof search
- Type checking ensures well-formedness of semantic representation



## 2. Construction of the CCG treebank Linguistically valid Japanese CCG Treebank

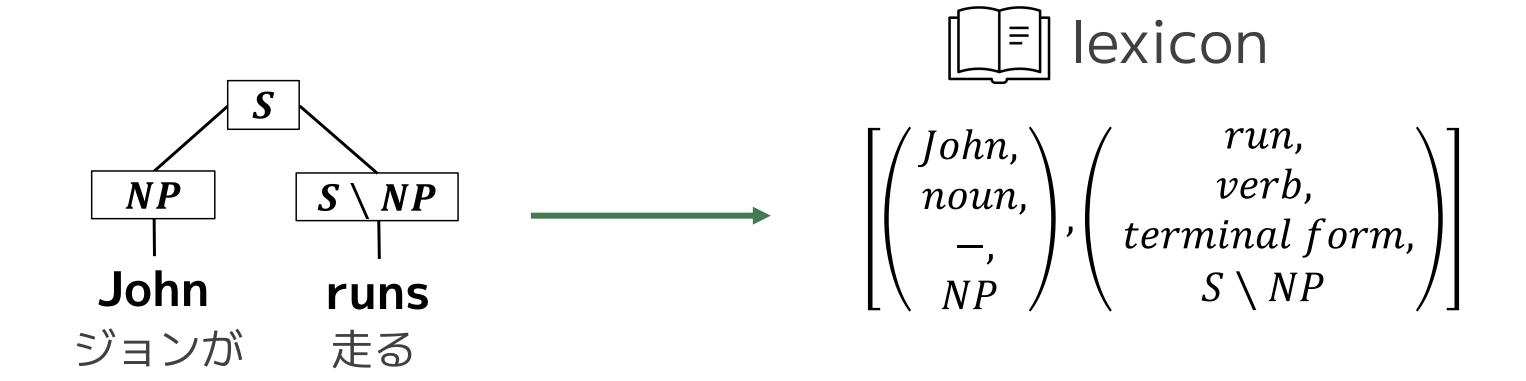
## lightblue CCGbank contains 13653 sentences categorized into 14 genres

Genre	Sentences	Len-50+ sentences	Reforged trees
aozora	1773	590	1183
bible	1652	220	1430
book_expert	50	4	41
dict_lexicon	2640	4	2636
diet_kaigiroku	486	112	374
fiction	921	44	877
law	337	128	209
misc	335	59	276
news	443	103	340
non-fiction	223	87	126
spoken	570	11	559
ted_talk	605	54	551
text-book	4880	10	4870
wikipedia	222	51	171
Total	15137	1482	13653

### 3. Treebank Evaluation

- 1. Number of Lexical Entries (lexical extraction)
- 2. Coverage Rate
- 3. Parsing Accuracy

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**Lexical coverage**: the proportion of categories that are registered in the lexicon for morphemes appearing in unseen sentences.



- 1. Number of Lexical Entries (lexical extraction)
- 2. Coverage Rate
- 3. Parsing Accuracy

#### Limitations:

These metrics merely indicate the coverage of the data, and how extensively the lexicon can assign some category to encountered words.

→ high coverage rate does not ensure the quality or validity of the data

1. Number of Lexical Entries (lexical extraction)

parser output

- 2. Coverage Rate
- 3. Parsing Accuracy evaluation data training data parser 1. Train the parser 2. Provide input sentence syntactic sentence structure 3. Evaluate how accurately the parser

analyzes the syntactic structure

- 1. Number of Lexical Entries (lexical extraction)
- 2. Coverage Rate
- 3. Parsing Accuracy

#### Limitations:

A parser can achieve high accuracy even when trained on erroneous treebank data.

→ high accuracy alone cannot be taken as evidence of a linguistically valid treebank

- 1. Number of Lexical Entries (lexical extraction)
- 2. Coverage Rate
- 3. Parsing Accuracy
- 4. Manual Evaluation

#### Limitations:

Evaluating CCG syntactic structures requires advanced knowledge of computational linguistics

→ manual evaluation is costly and impractical for large-scale treebank validation

### Our Solution

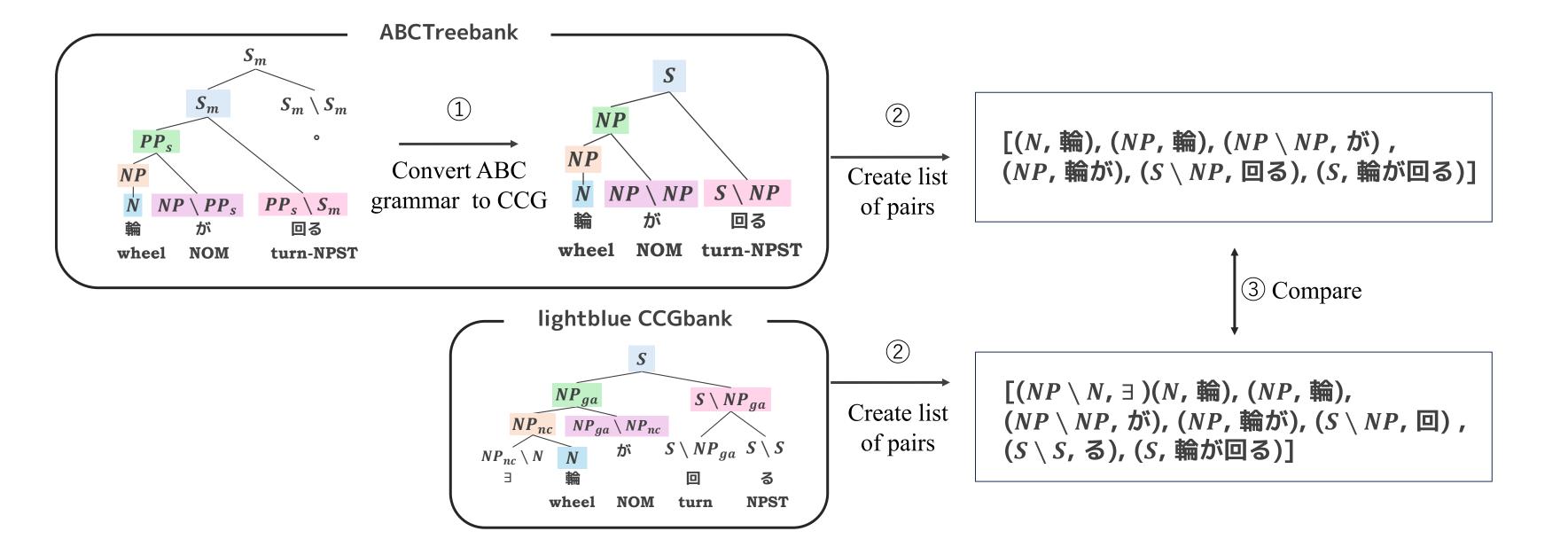
Combine two evaluation metrics to evaluate linguistic validity of the treebank

- 1. Syntax-based evaluation
- 2. Semantics-based evaluation

#### 3. Treebank Evaluation

## Syntax-Based Evaluation

We evaluate syntactic structures by measuring an alignment with another categorial grammar-based treebank.



#### 3. Treebank Evaluation

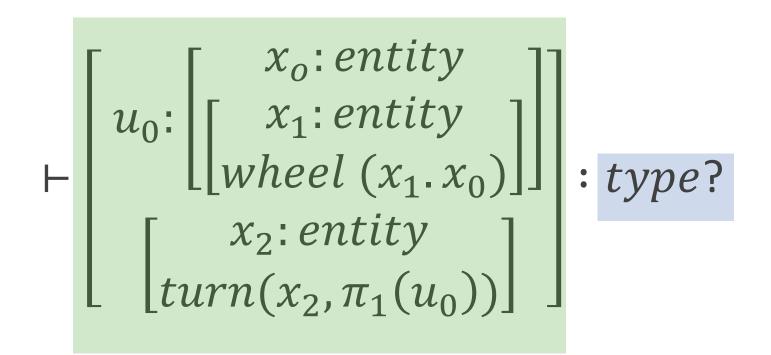
## Limitations of Syntax-Based Evaluation

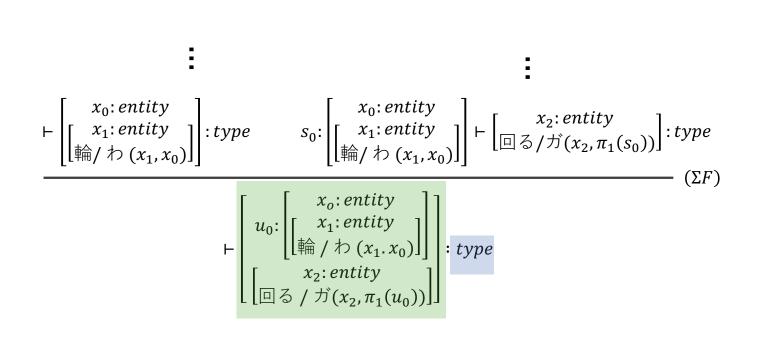
- ✓ It can compare empty categories in CCG with unary rules in ABCTreebank
- It can accommodate differences in predicate analysis
- It assumes that ABCTreebank is entirely correct, which may not necessarily be the case
- It cannot evaluate syntactic features which are not annotated in ABCTreebank

## Semantic-Based Evaluation

All syntactic structures in lightblue CCGbank are assigned DTS semantic representations

→ we can evaluate the well-formedness of the DTS semantic representation using type-theoretic verification "type checking"





- Type check is considered successful if the representation can be proven to have the type type

#### 3. Treebank Evaluation

### Semantic-Based Evaluation

- Type checking fails when the semantic representation is ill-formed.
- Under the combination of CCG and DTS all semantic representations are theoretically guaranteed to be well-typed (Bekki, forthcoming).
- Therefore, a type checking failure implies parsing errors
- → Semantic representations that are ill-typed are not linguistically valid in this system.

#### 3. Treebank Evaluation

### Limitations of Semantic-Based Evaluation

- It evaluates syntactic structures at the semantic level based on type theory
- Passing type checking does not necessarily imply linguistic validity of the associated syntactic structure

Syntactic scores and type-theoretic verification serve complementary functions, and their combined use is essential for a comprehensive assessment of treebank quality.

## **Evaluation Setup**

760 sentences sampled from lightblue CCGbank

Evaluated using three metrics

#### 1. Syntactic Structure Score Average

- Measures the percentage of matching (surface form, syntactic category) pairs between lightblue and ABCTreebank and computed averages by genre

#### 2. Type Checking Passage Rate

- Proportion of sentences with well-typed DTS semantic representations

#### 3. Overall Evaluation

- Sentences that scored ≥ 50 in syntax and passed type checking

### **Evaluation Result**

	Number of	Average	Type Checking	Overall
Genre	Data	Score	Pass Rate	Score
Aozora Bunko	125	42.4	63.2	20.89
Bible	40	49.1	57.5	21.57
Books	10	49.8	60.0	33.33
Dictionary	100	55.59	57.0	28.57
Proceedings	35	41.8	77.1	23.91
Fiction	30	51.1	66.7	31.82
Law	10	33.4	80.0	28.57
Other	50	50.2	64.0	26.47
News	50	40.4	78.0	21.88
Non-fiction	10	53.4	100.0	33.33
Spoken Language	50	36.98	88.0	25.37
TED Talks	25	41.68	64.0	21.88
Textbooks	200	49.59	60.0	27.54
Wikipedia	25	45.88	88.0	32.43
Total	760	46.0	66.2	26.00

#### **Key Findings**

No clear correlation between syntactic score and type checking rate

→ The two metrics capture different aspects of linguistic validity

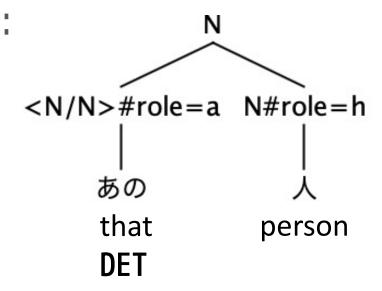
## Why is score relatively low?

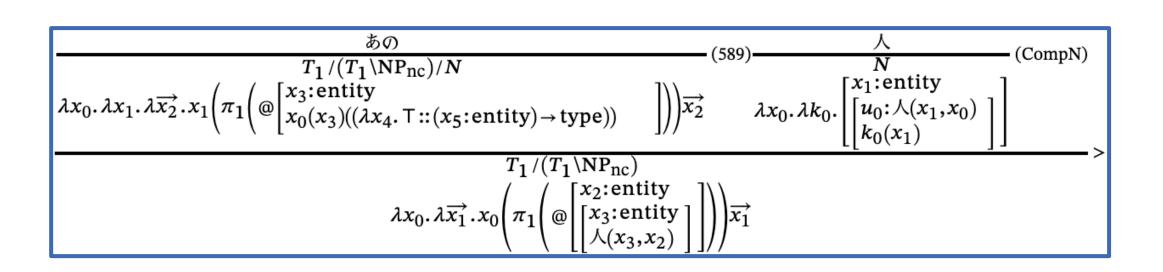
One reason: annotation errors in ABCTreebank (used as gold standard)

The evaluation assumes ABCTreebank is linguistically valid

→ Any errors in its annotations directly lower the score

Example:





- Determiners are annotated as N/N (returns a noun) but should be NP/N (yields a noun phrase)
- Such category mismatches reduce scores, even if the structure is in lightblue CCGbank is linguistically correct

#### Manual Evaluation

To assess the reliability of our syntax-based evalation metric, we compared its results against a manually annotated subset of 152 sentences from the lightblue CCGbank.

True: syntactic structure is linguistically valid

False: syntactic structure contains error

**High recall**: Most linguistically valid structures were correctly identified

Lower precision: Some false positives

→ likely caused by overpermissive category matching

		Manual Evaluation	
		True	False
Score > 50	True	48	27
	False	13	64
Accuracy		0.739	
Precision		0.640	
Recall		0.787	
F1		0.706	

#### Conclusion:

The syntax-based metric is a **useful proxy** for linguistic validity However, it may require **refinement to improve precision** 

## 5. Conclusion Summary

Linguistically valid treebanks are essential for inference based on compositional semantics

- Proposed **metrics** for evaluating linguistic validity of Japanese CCG treebanks
  - Syntactic alignment
  - Semantic well-formedness (via type checking)